

# Agenda

Dorset County Council



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Meeting: Audit and Governance Committee  
Time: 10.00 am  
Date: 19 January 2018  
Venue: Committee Room 1, County Hall, Colliton Park, Dorchester, Dorset, DT1 1XJ

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David Harris (Chairman)  
Cherry Brooks  
Colin Jamieson  
William Trite

Clare Sutton (Vice-Chairman)  
Ray Bryan  
Steven Lugg

Richard Biggs  
Andrew Cattaway  
Andrew Parry

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## Notes:

- The reports with this agenda are available at [www.dorsetforyou.com/countycommittees](http://www.dorsetforyou.com/countycommittees) then click on the link "minutes, agendas and reports". Reports are normally available on this website within two working days of the agenda being sent out.
- We can provide this agenda and the reports as audio tape, CD, large print, Braille, or alternative languages on request.
- **Public Participation**

Guidance on public participation at County Council meetings is available on request or at <http://www.dorsetforyou.com/374629>.

## Public Speaking

Members of the public can ask questions and make statements at the meeting. The closing date for us to receive questions is 10.00am on 16 January 2018, and statements by midday the day before the meeting.

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**Debbie Ward**  
Chief Executive

Contact: Denise Hunt, Senior Democratic Services Officer  
County Hall, Dorchester, DT1 1XJ  
01305 224878 - [d.hunt@dorsetcc.gov.uk](mailto:d.hunt@dorsetcc.gov.uk)

Date of Publication:  
Thursday, 11 January 2018

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## 1. **Apologies for Absence**

To receive any apologies for absence.

## 2. **Code of Conduct**

Councillors are required to comply with the requirements of the Localism Act 2011 regarding disclosable pecuniary interests.

- Check if there is an item of business on this agenda in which the member or other relevant person has a disclosable pecuniary interest.
- Check that the interest has been notified to the Monitoring Officer (in writing) and entered in the Register (if not this must be done on the form available from the clerk within 28 days).
- Disclose the interest at the meeting (in accordance with the County Council's Code of Conduct) and in the absence of a dispensation to speak and/or vote, withdraw from any consideration of the item.

The Register of Interests is available on Dorsetforyou.com and the list of disclosable pecuniary interests is set out on the reverse of the form.

## 3. **Minutes**

5 - 10

To confirm and sign the minutes of the meeting held on 20 September 2017.

## 4. **Public Participation**

(a) Public Speaking

(b) Petitions

## 5. **Update from the Chairman**

A verbal update on a meeting held with the Chief Executive on 10 January 2018 and plans for the forthcoming year.

## 6. **Progress on Matters Raised at Previous Meetings**

11 - 16

To consider a report outlining any outstanding actions identified at the last meeting.

## 7. **External Audit Plan 2017/18 and Technical Update**

17 - 52

To consider a report by KPMG, the Council's External Auditor (attached).

## 8. **Report of Internal Audit Activity - Plan Progress 2017/18**

53 - 66

To consider a report by the South West Audit Partnership (SWAP) (attached).

## 9. **Financial Management Report**

67 - 78

To consider a report by the Chief Financial Officer (attached).

## 10. **Property Asset Management Report**

79 - 88

To consider a report by the Chief Financial Officer (attached).

11. **Treasury Management Mid Year Update 2017/18** 89 - 104  
To consider a report by the Chief Financial Officer (attached).
12. **Corporate Plan: Outcomes Focussed Monitoring Report** 105 - 174  
To consider a report by the Chief Executive (attached).
13. **Constitutional Changes** 175 - 182  
To consider a report by the Monitoring Officer (attached).
14. **Work Programme** 183 - 186  
To consider the Committee's current work programme.
15. **Questions from County Councillors**  
To answer any questions received in writing by the Chief Executive by not later than 10.00am on 16 January 2018.
16. **Exempt Business**  
To consider passing the following resolution:  
  
To agree that in accordance with Section 100 A (4) of the Local Government Act 1972 to exclude the public from the meeting in relation to the business specified below it is likely that if members of the public were present, there would be disclosure to them of exempt information as defined in the paragraphs detailed below of Part 1 of Schedule 12A to the Act and the public interest in withholding the information outweighs the public interest in disclosing the information to the public.
17. **Senior Management Roles and Responsibilities - Interim Arrangements (Paragraph 1, 2)** 187 - 208  
To consider a report by the Chief Executive (attached).